

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WISCONSIN
MILWAUKEE DIVISION**

ARLENE D. GUMM, et al., on behalf of
themselves and all other similarly situated
stockholders of JOHNSON CONTROLS,
INC.,

Plaintiffs,

v.

ALEX A. MOLINAROLI, et al.,

Defendants.

Case No. 16-cv-1093-PP

PLAINTIFFS' NOTICE OF APPEAL

NOTICE IS HEREBY GIVEN that Lead Plaintiffs Peter J. Smykla, Cathreen W. Clark, Cynthia Pontier, Henry Nisiewicz, and Brian Ellison, on behalf of themselves and all other similarly situated stockholders of Johnson Controls, Inc., hereby appeal to the United States Court of Appeals for the Seventh Circuit from the final judgment entered in this matter on November 3, 2021 by the Clerk of Court as directed by an Order of the United States District Court for the Eastern District of Wisconsin (Pepper, CJ) dated November 3, 2021 granting Defendants' motion to dismiss the amended complaint.

Dated: December 1, 2021

MALLERY S.C.

s/ K. Scott Wagner

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CERTIFICATE OF SERVICE

I hereby certify that a true copy of the foregoing Notice of Appeal was filed electronically via CM/ECF on December 1, 2021 and served by the same means on all counsel of record.

/s/ K. Scot Wagner